

# Capita Selecta: Set Theory

## Lecture II

$M$  set of moves

$M^{\omega}$  positions

$M^{\omega}$  plays/runs

$A \subseteq M^{\omega}$  payoff set

$G(A)$  game w/ payoff  $A$

$[G(\tau; A)]$  game w/ payoff  $A$  & rules  $\tau$

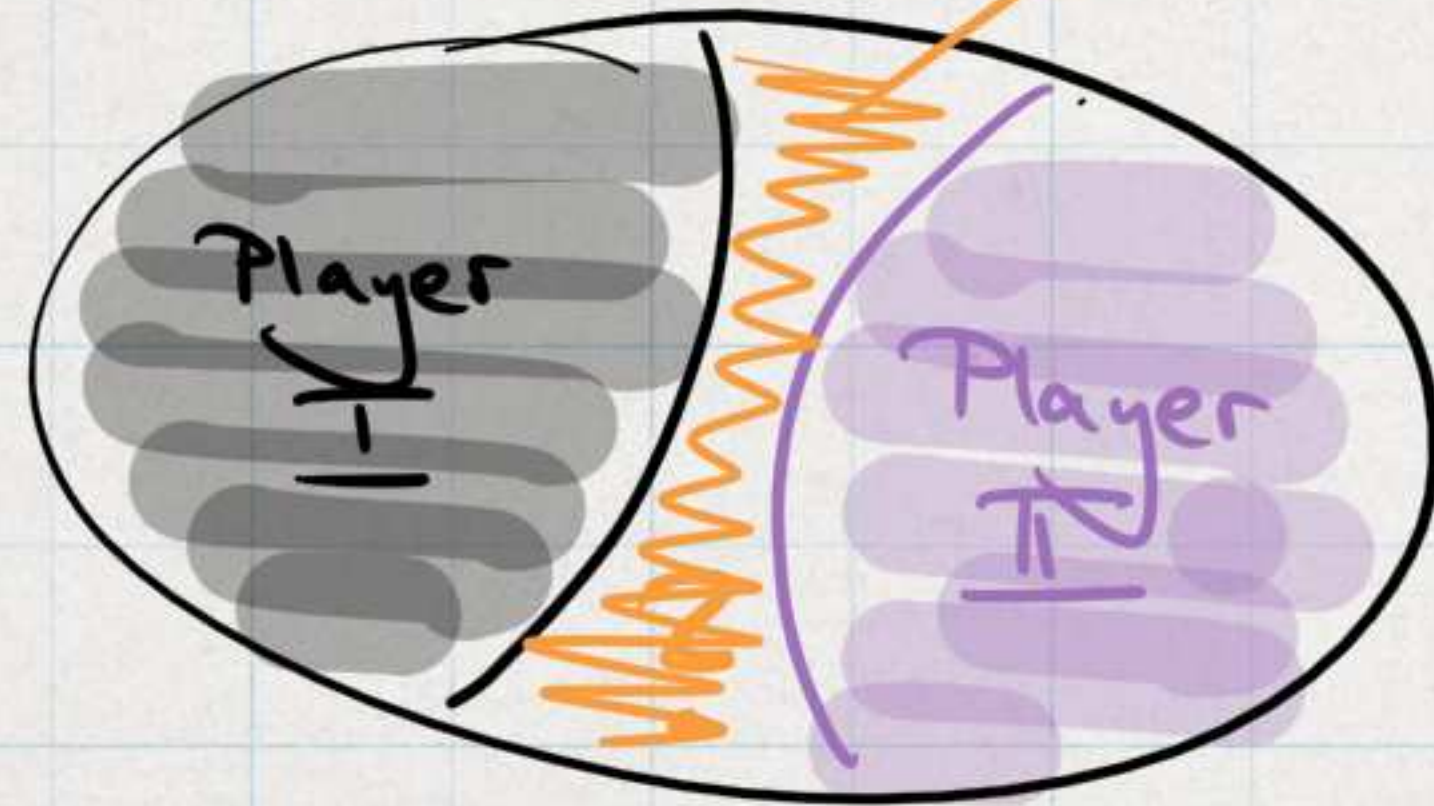
$\sigma$  strategy

$\sigma * \tau$

$\sigma$  winning for I  
 $\tau$  if  $\forall \tau \sigma * \tau \in A$

winning for II  
 $\tau$  if  $\forall \sigma \sigma * \tau \notin A$

$\sigma: M^{\omega} \rightarrow M$

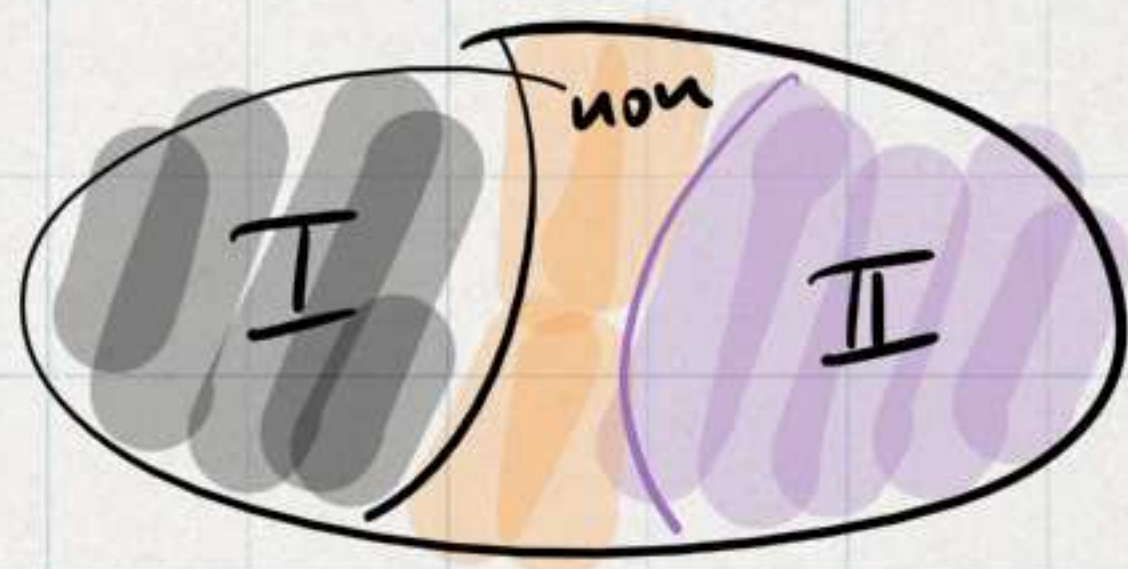


non-determined

Power set of  $M^{\omega}$

It is impossible to have a w.s. for I and for II

Def.  $A$  is determined if either player I or II has a w.s. in  $G(A)$ .



Ulam

Can we characterise the set of  $A$   
s.t.  $\underline{I}$  has a w.s.

or the set of  $A$   
s.t.  $\underline{II}$  has a w.s.

Modern

Can we characterise the set  
of determined sets.

TODAY These are non-trivial questions.

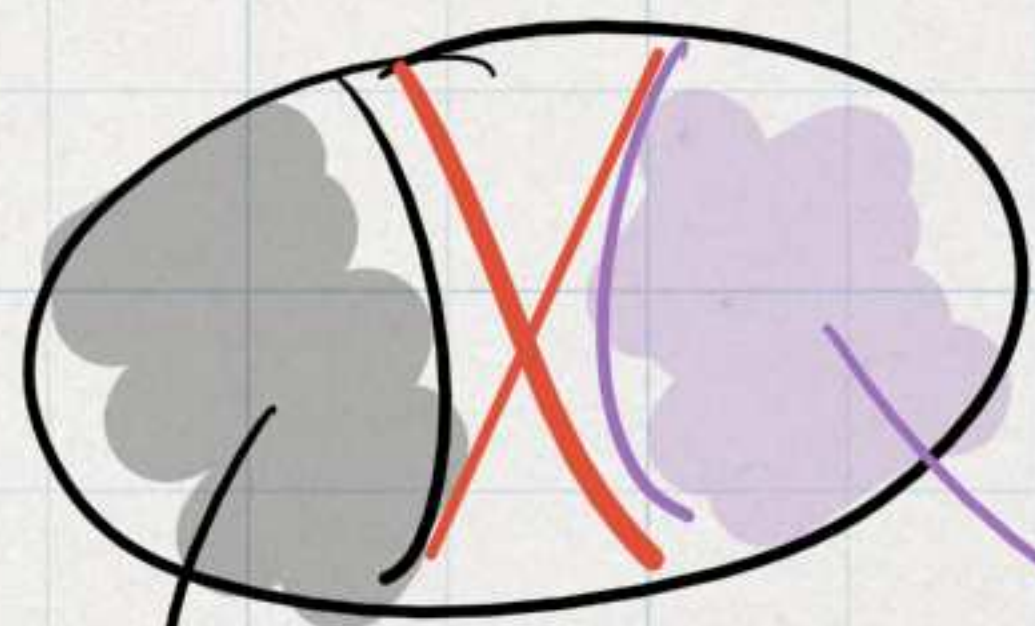
[In particular:  $AC \implies \text{orange} \neq \emptyset$ .]

What about the choice of  $M$ ?

Completely trivial case:  $|M| = 1$ .

$$M = \{m\}$$

$|M^w| = 1$ , viz. the constant function



$$P(M^w) = \{\emptyset, M^w\}$$

$\emptyset$  is won by player II

$M^w$  is won by player I

If  $|M| \geq 2$ , let  $m_0 \neq m_1 \in M$

$x \mapsto z_x$

$$z_x(u) := m_x(u)$$

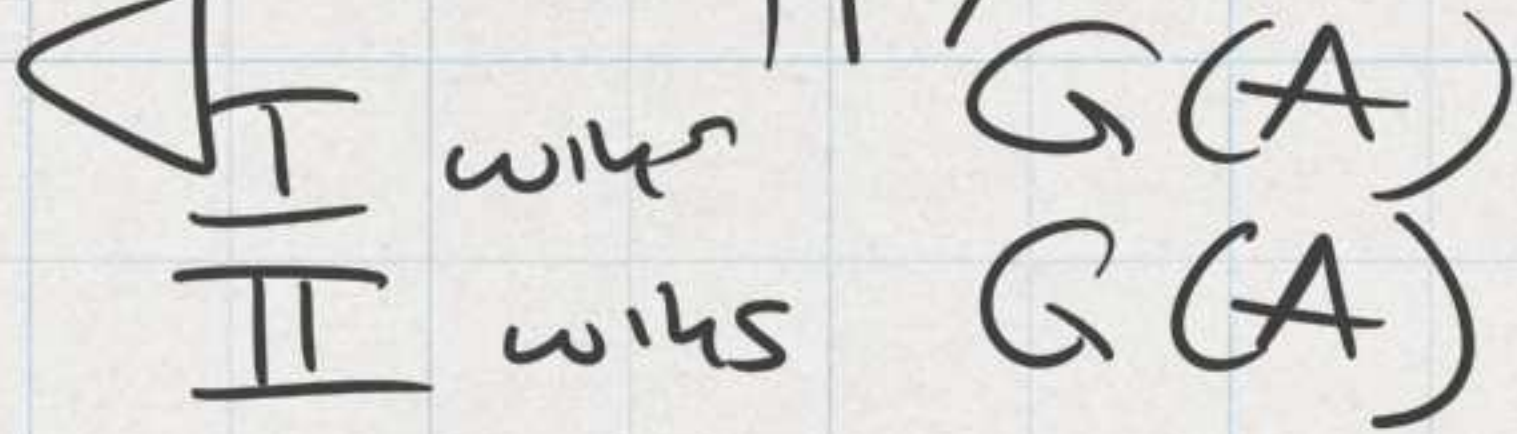
This is inj. from  $2^{\omega}$  to  $M^{\omega}$ .

$$\text{So } |M^{\omega}| \geq 2^{\aleph_0}.$$

$$\text{So } |R(M^{\omega})| \geq 2^{(2^{\aleph_0})}$$

Ulam

Can we give suff./nec. criteria for



Theorem

If  $|M| \geq 2$  and  $A$  is countable, then  $\text{II}$  has a w.s. in  $G(A)$ .

Proof.

Suppose  $A = \{a_i; i \in \mathbb{N}\}$

So, player  $\text{II}$  in his  $i$ -th move plays an element  $m \in M$  s.t.  $m \neq a_i(2i+1)$ .

Possible since  $|M| \geq 2$ .

This wins against every str.  $\sigma$  for player  $\text{I}$ :

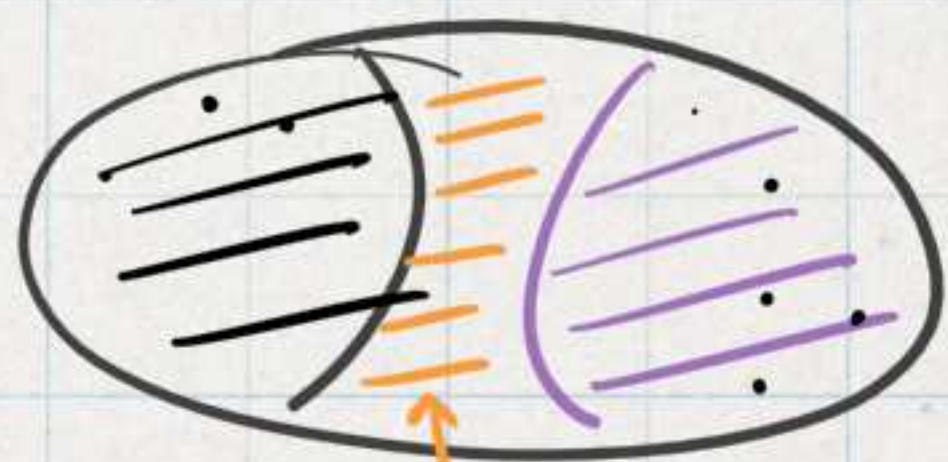
Suppose  $\sigma * \tau \in A$ , find  $i$  s.t.  $\sigma * \tau = a_i$ .

But then  $\sigma * \tau(2i+1) = \tau(\sigma * \tau \upharpoonright 2i+1) \neq a_i(2i+1)$ .

q.e.d.

$\tau$

Similarly, if  $M^\omega \setminus A$  is countable,  
then player I has a w.s.



if  $\neq \emptyset$ , then sets must  
be uncountable +  
complement uncountable.

$\mathcal{P}(M^\omega)$

### NOTATION

if  $x \in M^\omega$ , then

$x_{\text{I}}, x_{\text{II}} \in M^\omega$

$x_{\text{I}}(n) := x(2n)$

$x_{\text{II}}(n) := x(2n+1)$

$x \in M^w$

$$\sigma_x(p) := x\left(\frac{\text{lh}(p)}{2}\right) \text{ if } \text{lh}(p) \text{ is even}$$

$$\tau_x(p) := x\left(\frac{\text{lh}(p)-1}{2}\right) \text{ if } \text{lh}(p) \text{ is odd}$$

## BLINDFOLDED STRATEGIES

EXAMPLE

$$(\sigma * \tau_x)_{\Pi} = x$$

$$(\sigma_x * \tau)_{\text{I}} = x$$

[Easy  
induction  
proofs.]

This tells us how many strategies there are:  
if  $|M| = 2$ , then there are precisely  $2^{|M|}$  strategies.

$[2^{|M|} \leq |\text{Strategies}|: \text{each blindfolded str. is a str.}]$   
 $|\text{Strategies}| \leq 2^{|M|}: \sigma: \underline{\underline{M^{<\omega}}} \rightarrow \underline{\underline{M}}$   
 $|M|=2.$

$\uparrow$   $M$  is bigger, there could be more strategies.

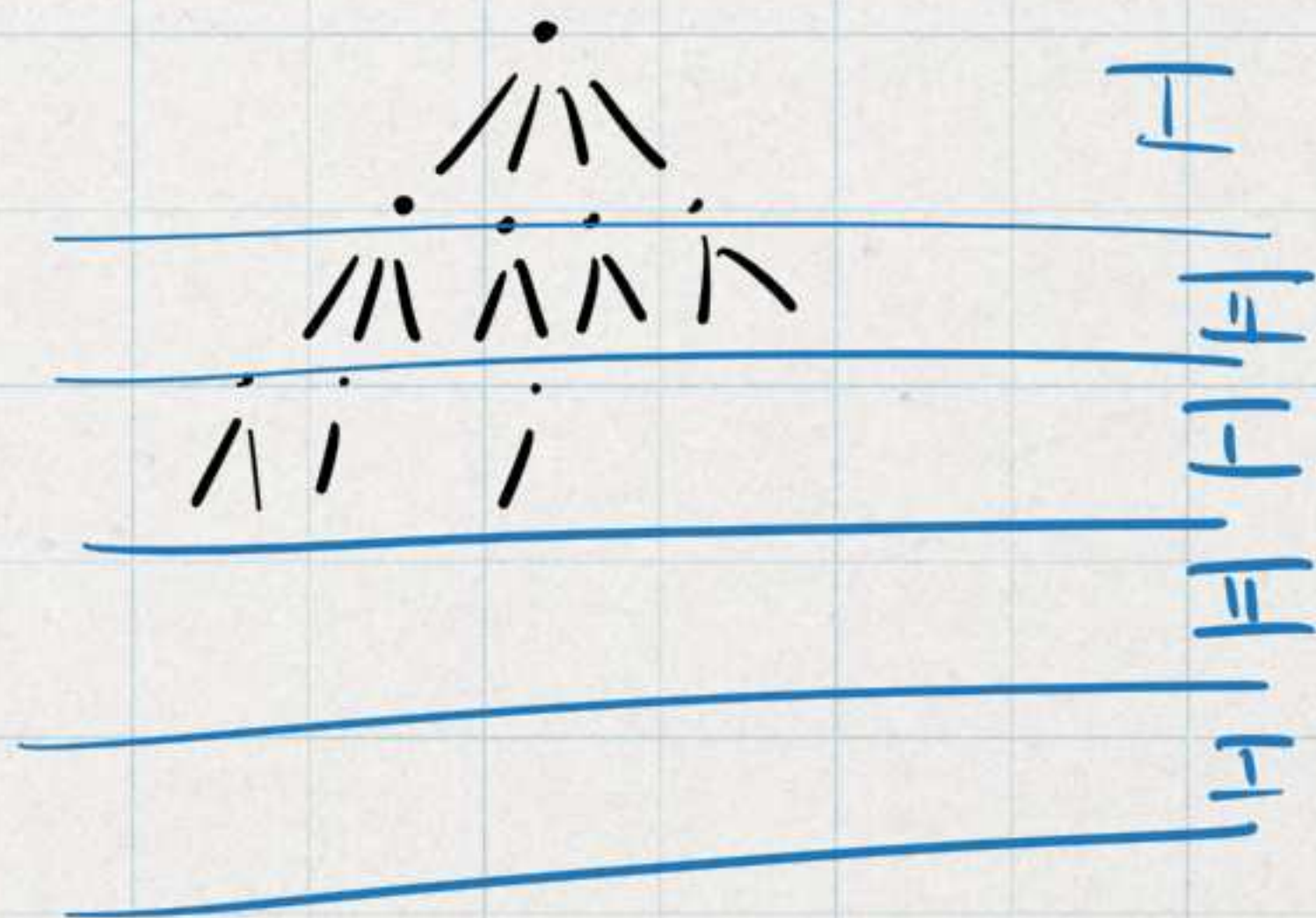
Fact If  $\sigma$  is a str. then  $\sigma$  is winning for  $\perp$

iff  $\sigma$  wins against each blindfolded strategy

Proof. "  $\Rightarrow$  " OK.  
 "  $\Leftarrow$  " Suppose not. Let  $\tau$  be a counterstr. that wins against  $\sigma$ .  
 Define  $x := \sigma * \tau$ . Then  $\sigma * \tau \notin A$ .  
 Define  $y := x \parallel$ . So  $\sigma$  loses against  $\bar{y}$ . q.e.d.



Necessary criteria.



We re-think our notion of strategy.

$$\sigma: M^{<\omega} \rightarrow M$$

Think of a strategy as a tree

$$T \subseteq M^{<\omega}$$

Def

$$T \subseteq M^{<\omega}$$

STRATEGIC I-tree for  $\sigma$

$$p \in T \subseteq M^{<\omega}$$

$\iff$

$$\text{f.a. } 2n < \text{lh}(p)$$

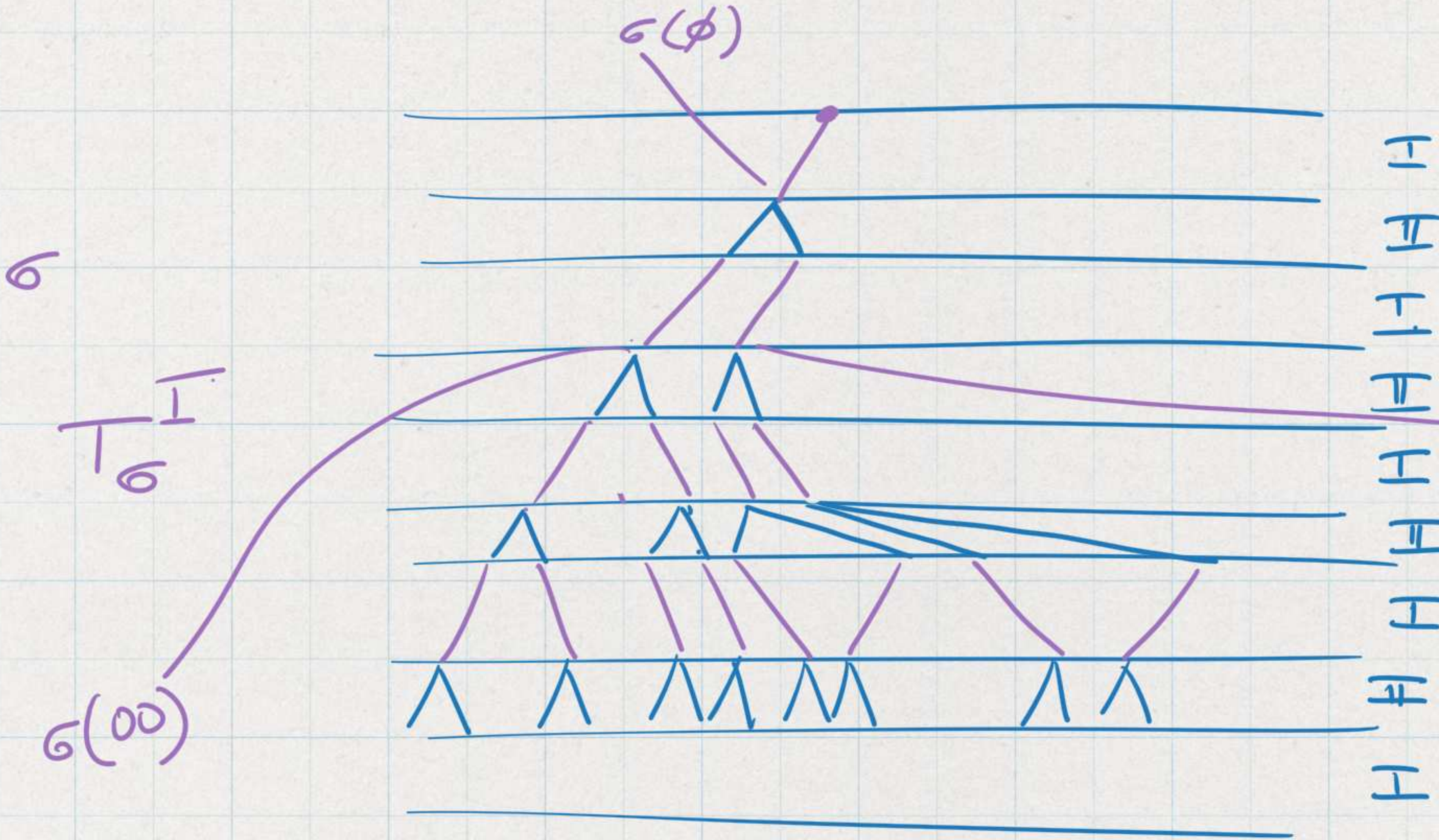
$$p(2n) = \sigma(p \upharpoonright 2n)$$

$$p \in T \subseteq M^{<\omega}$$

$\iff$

$$\text{f.a. } 2n+1 < \text{lh}(p)$$

$$p(2n+1) = \sigma(p \upharpoonright 2n+1)$$



$$\underline{M = \{0, 1\}}$$

$\sigma(01)$

$\sigma(00)$

$\sigma$

$\sigma$   
H

H  
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If  $\sigma, \tau$  strategies

$$\{\sigma * \tau\} = \left[ \begin{array}{c} \tau \\ \sigma \end{array} \right] \cap \left[ \begin{array}{c} \sigma \\ \tau \end{array} \right]$$

Proof.

Obviously by construction  $\sigma * \tau \in \left[ \begin{array}{c} \tau \\ \sigma \end{array} \right] \cap \left[ \begin{array}{c} \sigma \\ \tau \end{array} \right]$ .  
Suppose  $z \in \left[ \begin{array}{c} \tau \\ \sigma \end{array} \right] \cap \left[ \begin{array}{c} \sigma \\ \tau \end{array} \right]$ ,  $z \neq \sigma * \tau$ .

Let  $i$  be least s.t.  $z(i) \neq (\sigma * \tau)(i)$ .

W.l.o.g.,  $i$  even  $z \in \left[ \begin{array}{c} \tau \\ \sigma \end{array} \right] \Rightarrow z \uparrow i = (\sigma * \tau) \uparrow i$ .

WITHOUT LOSS  
OF GENERALITY

$$\begin{aligned} z(i) &= \sigma(z \uparrow i) \\ &= \sigma(\sigma * \tau \uparrow i) \\ &= \sigma * \tau(i). \end{aligned}$$

So, this means that a strategy  $\sigma$  is winning for  $\Gamma$  iff

$$\left[ \begin{array}{c} \Gamma \\ \sigma \end{array} \right] \subseteq A.$$

Similarly,  $\tau$  is winning for  $\Pi$  iff

$$\left[ \begin{array}{c} \Pi \\ \tau \end{array} \right] \cap A = \emptyset$$

$$\left[ \begin{array}{c} \Pi \\ \tau \end{array} \right] \subseteq M^w \setminus A$$

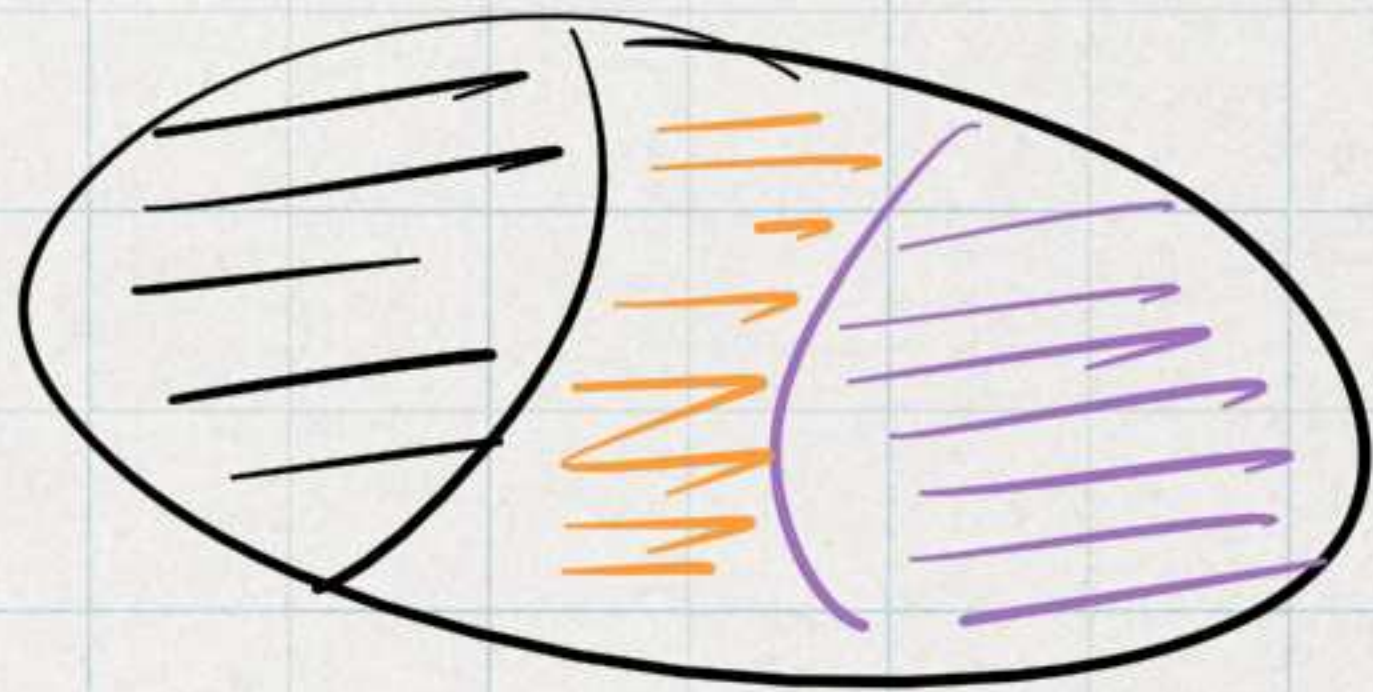
Clearly,  $\left| \left[ \begin{array}{c} \Gamma \\ \sigma \end{array} \right] \right| = \left| \left[ \begin{array}{c} \Pi \\ \tau \end{array} \right] \right| = 2^{\omega}$ .

$\left[ \begin{array}{c} \text{Map } X \\ \text{to } 2^{\omega} \end{array} \right] \xrightarrow{\sigma^*} \left[ \begin{array}{c} \Gamma \\ \sigma \end{array} \right];$  this is an injection into  $\left[ \begin{array}{c} \Gamma \\ \sigma \end{array} \right]$ .

## Corollary

If  $\mathbb{I}$  has w.s. in  $G(A)$ ,  
then  $|A| \geq 2^{\aleph_0}$ .

If  $\mathbb{II}$  has a w.s. in  $G(A)$ ,  
then  $|M^{\omega} \setminus A| \geq 2^{\aleph_0}$ .



? Does orange exist?

We'll now show that  $AC \implies$   
there are non-determined sets.

[Certainly known to Banach 1930;  
published Gale-Stewart 1953]

Theorem AC  $\Rightarrow$  existence of non-determined set

Proof. By diagonalisation:  
construct  $A$  s.t.  $\forall \sigma \forall \tau$

$\left[ \begin{array}{c} \tau \\ \sigma \end{array} \right] \notin A \longrightarrow \text{I doesn't win}$

$\left[ \begin{array}{c} \tau \\ \tau \end{array} \right] \notin M^w \setminus A \longrightarrow \text{II doesn't win}$

First question: how many strategies (equivalently, how many strategic trees) are there

$\longrightarrow 2^{\aleph_0}$ .

We list all strategic trees in order type  $2^{\aleph_0}$ :

$$\{T_\alpha; \alpha < 2^{\aleph_0}\}$$

[first use of AC to wellorder  $2^{\aleph_0}$ ]

For each  $\alpha$ ,  $|[T_\alpha]| = 2^{\aleph_0}$ .

We define sets  $A, B$  s.t.  $A \cap B = \emptyset$  in stages:

$\{A_\alpha; \alpha < 2^{\aleph_0}\}$

$$A = \bigcup_{\alpha < 2^{\aleph_0}} A_\alpha$$

$$B = \bigcup_{\alpha < 2^{\aleph_0}} B_\alpha$$

For each  $\alpha$ ,  
 $[T_\alpha] \not\subseteq A$   
 and  
 $[T_\alpha] \not\subseteq M^{\omega} \setminus A$ .

s.t.  $|A_\alpha| = |\alpha| = |B_\alpha|$ .

and  $\underline{A_{\alpha+1} \cap [T_\alpha]} \neq \emptyset$  and  $\underline{B_{\alpha+1} \cap [T_\alpha]} \neq \emptyset$ .

$$A_0 := \emptyset \quad B_0 := \emptyset$$

If  $\lambda$  is a limit ordinal and for  $\alpha < \lambda$   $A_\alpha, B_\alpha$  are defined with the desired properties, then we let

$$A_\lambda := \bigcup_{\alpha < \lambda} A_\alpha \quad B_\lambda := \bigcup_{\alpha < \lambda} B_\alpha.$$

Suppose  $A_\alpha, B_\alpha$  are defined with

$$|A_\alpha| = |\alpha| = |B_\alpha|$$

Use AC to pick  $a_\alpha \neq b_\alpha \in [T_\alpha] \setminus (A_\alpha \cup B_\alpha) \implies [T_\alpha] \setminus (A_\alpha \cup B_\alpha)$

$$A_{\alpha+1} := A_\alpha \cup \{a_\alpha\}$$

$$B_{\alpha+1} := B_\alpha \cup \{b_\alpha\}$$

$$\alpha < 2^{\aleph_0} \\ |\alpha| < 2^{\aleph_0}$$

$$\underbrace{[T_\alpha]}_{2^{\aleph_0}} \setminus \underbrace{(A_\alpha \cup B_\alpha)}_{> 2 \cdot |\alpha|}$$

$$\neq \emptyset$$

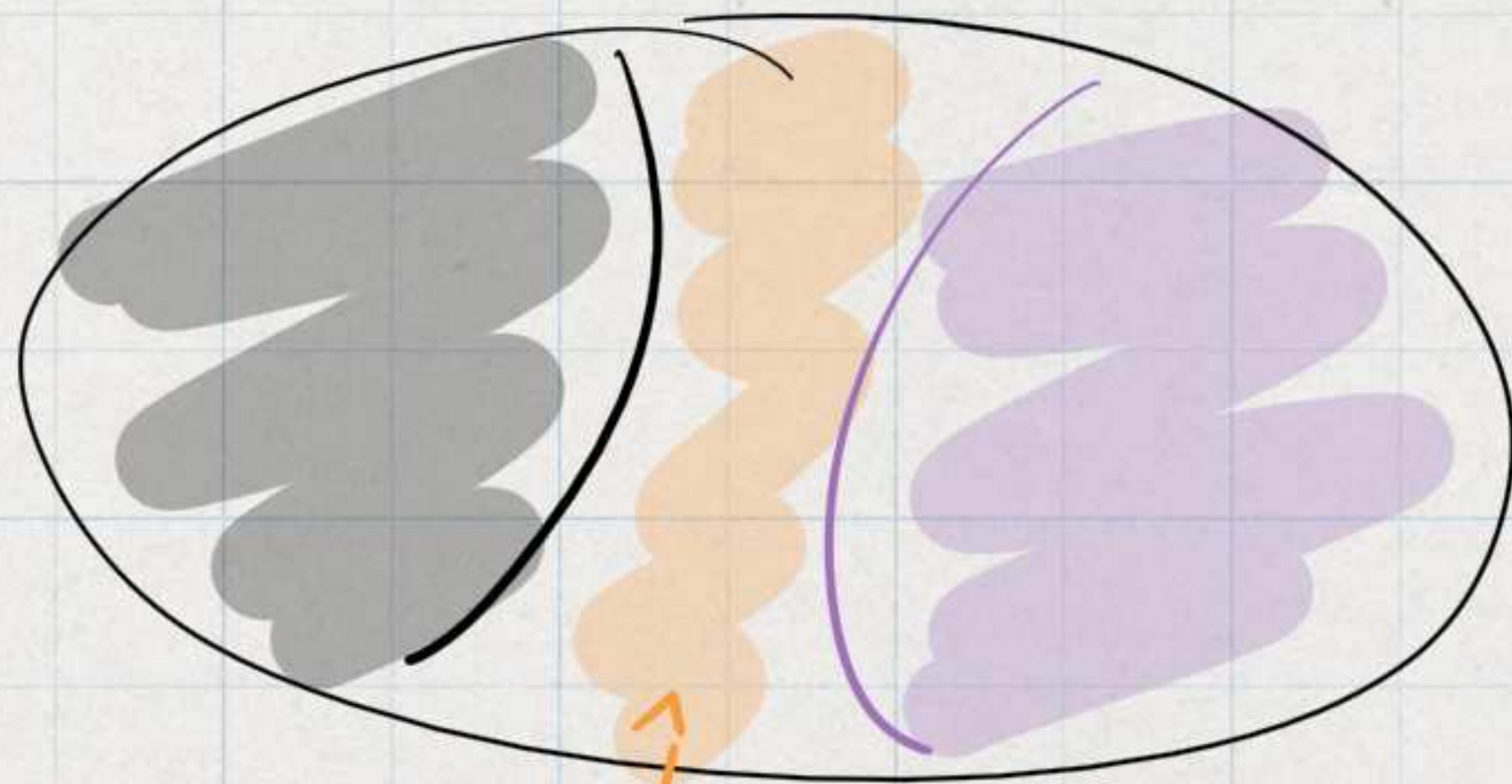
q.e.d.

Picking from nonempty subsets of  $M^{\aleph_0}$ .

$\implies$  It's enough to have a wellordering of  $M^{\aleph_0}$ .



# The Axiom of Determinacy



MYCIELSKI proposed

$AD_M$   
For every  $A \subseteq M^{\omega}$ ,  $A$   
is determined.

$$AC \implies \neg AD_2$$

$$AD_2 \implies \neg AC$$

If  $|M| \geq 2$  and  $M^{\omega}$  can be wellordered,  
the orange exists.