



## SHOENFIELD'S THEOREM

**Theorem** (Shoenfield). Every  $\Pi_1^1$  set is  $\aleph_1$ -Suslin.

*Proof.* Let  $A$  be  $\Pi_1^1$ , then  $\omega^\omega \setminus A$  is  $\Sigma_1^1$ , so let  $T$  be a tree such that

$$\begin{aligned}
 x \in A &\iff x \notin p[T] \\
 &\iff T_x \text{ is wellfounded} \\
 &\iff \text{there is an order preserving map } f : (T_x, \supseteq) \rightarrow (\aleph_1, <),
 \end{aligned}$$

where  $T_x := \{s; (s, x \upharpoonright |s|) \in T\}$ .

Fix a bijection  $i \mapsto s_i$  from  $\omega \rightarrow \omega^{<\omega}$  such that if  $s_i \subsetneq s_j$ , then  $i < j$ . We let  $T_t := \{s_i; |s_i| \leq |t|, i \leq |t|, \text{ and } (s_i, t \upharpoonright |s_i|) \in T\}$  and observe that  $T_x = \bigcup_{n \in \omega} T_{x \upharpoonright n}$ .

Let  $S$  be any tree on  $\omega$ . We say that a function  $g : \omega \rightarrow \omega_1$  is an *order preserving code for  $S$*  if for all  $i$  and  $j$ , if  $s_i, s_j \in S$  and  $s_i \supseteq s_j$ , then  $g(i) < g(j)$ . Similarly, if  $u \in \omega_1^{<\omega}$ , then we say that  $u$  is a *partial order preserving code for  $S$*  if for all  $i, j < |u|$ , if  $s_i, s_j \in S$  and  $s_i \supseteq s_j$ , then  $u(i) < u(j)$ . Then the following tree is called the *Shoenfield tree*:

$$\widehat{T} := \{(u, s); u \text{ is a partial order preserving code for } T_s\}.$$

We claim that  $A = p[\widehat{T}]$ :

“ $\subseteq$ ”: If  $x \in A$ , then let  $f : T_x \rightarrow \omega_1$  be an order preserving map and define

$$g(i) := \begin{cases} f(s_i) & \text{if } s_i \in T_x \text{ and} \\ 0 & \text{otherwise.} \end{cases}$$

Then  $g$  is an order preserving code for  $T_x$ , and thus every  $g \upharpoonright n$  is a partial order preserving code for  $T_{x \upharpoonright n}$ . Thus  $(g, x) \in \widehat{T}$ .

“ $\supseteq$ ”: If  $x \in p[\widehat{T}]$ , find  $g \in \omega_1^\omega$  such that  $(g, x) \in \widehat{T}$ ; this means that for each  $n$ ,  $g \upharpoonright n$  is a partial order preserving code for  $T_{x \upharpoonright n}$ . If  $g$  is not an order preserving code for  $T_x$ , then there are  $s_i, s_j \in T_x$  where  $s_i \supseteq s_j$ , but  $g(i) \geq g(j)$ . Find  $n$  large enough such that  $s_i, s_j \in T_{x \upharpoonright n}$ : this is a contradiction to the fact that  $g \upharpoonright n$  is a partial order preserving code for  $T_{x \upharpoonright n}$ . Thus  $g$  is an order preserving code for  $T_x$ , and therefore  $T_x$  is wellfounded whence  $x \in A$ . q.e.d.