

**11.1 Padding Lemma.** Every p.r. function has infinitely many indices. In particular, there are strictly monotonic prim. functions  $f$  and  $g$  such that for every  $y$ ,  $\varphi_y = \varphi_{f(y)} = \varphi_{g(x,y)}$ .

It is clear now how we must code configurations as numbers; and next, *finite sequences* of configurations. Moreover, we can check whether such a sequence codes a complete calculation of  $P_e$ .

**11.2 Normal Form Theorem (Kleene).** There exists a primitive recursive function  $U$ , and for every  $n > 0$ , there exists a primitive recursive predicate  $T_n$ , such that for all  $x$ ,

$$\varphi_e^{(n)}(x_1, \dots, x_n) \simeq U(\mu y T_n(e, x_1, \dots, x_n, y)).$$

*Comment.* The definition of *complete equality* in terms of identity is

$$M \simeq N \text{ if and only if: if } M = M \text{ or } N = N, \text{ then } M = N.$$

**Proof.**  $U$  counts the primes that divide the multiplicity of the greatest prime factor (in other words, the exponent of that factor in the prime factorization) of its argument just once.  $\square$

**Corollary.** The Turing computable partial functions are  $\mu$ -recursive.

The converse holds as well (see Kleene's book for a proof). We take this final piece of evidence for the Church-Turing thesis to be conclusive: we have captured a natural notion of computability that every student possesses.

## 12 The Enumeration and s-m-n Theorems

In fact we need only one, programmable, Turing machine.

**12.1 Enumeration Theorem.** For every  $n > 0$ , there is an index  $z_n$  such that

$$\varphi_{z_n}^{(n+1)}(e, x_1, \dots, x_n) \simeq \varphi_e^{(n)}(x_1, \dots, x_n).$$

By our prime product representation, not every number codes a pair. We will have use for a *surjective* pairing. Let  $(x, y) \mapsto \langle x, y \rangle$  be one, with projections  $\pi_1$  and  $\pi_2$ . Then we also have surjective tripling, with

$$\langle x, y, z \rangle = \langle \langle x, y \rangle, z \rangle,$$

and so on. We apply this as a reason to make light of arities.

**12.2 s-m-n Theorem.** For every  $m, n > 0$ , there exists an injective computable  $(m + 1)$ -ary function  $s_n^m$  such that for all  $x, y_1, \dots, y_n$ ,

$$\varphi_{s_n^m(x, y_1, \dots, y_n)}^{(n)} \simeq \lambda(z_1, \dots, z_n). \varphi_x^{(m+n)}(y_1, \dots, y_m, z_1, \dots, z_n).$$

*Example.* There exists a computable function  $f$  such that  $\varphi_{f(x)} = 2\varphi_x$ .

**12.3 Definition.** By  $\varphi_{e,s}(x) = y$  we express that  $e, x$  and  $y$  are less than  $s$ , and  $\varphi_e(x)$  converges to  $y$  in fewer than  $s$  steps.

So  $\varphi_{e,s}$  is a finite partial function; but if it diverges, we will know.

**12.4 Theorem.** The predicates  $\varphi_{e,s}(x)\downarrow$  and  $\varphi_{e,s}(x) = y$  are computable.

Every computable partial function  $\varphi_e$  is the union of a sequence of decidable finite partial functions.

**13 Exercises**

:1 (a) Convince yourself that the  $T$ -predicate is computable.

(b)\* Prove that the  $T$ -predicate is primitive recursive.

:2\* Prove that the  $s_n^m$ -function is primitive recursive.

**14 Unsolvable problems**

**14.1 Definition.** (i) A set is *computably enumerable (c.e.)* if it is the domain of a p.c. function.

(ii)  $W_e := \text{Dom } \varphi_e = \{x \mid \varphi_e(x)\downarrow\} = \{x \mid \exists y T(e, x, y)\}$ .

(iii)  $W_{e,s} = \text{Dom } \varphi_{e,s}$ .

So a computably enumerable set is a union of finite computable sets. Conversely, computable sets are computably enumerable. The ‘enumerable’ will be explained later.

**14.2 Definition.**  $K := \{x \mid \varphi_x(x) \text{ converges}\} = \{x \mid x \in W_x\}$ .

**14.3 Theorem.**  $K$  is c.e.

**Proof.** Let  $z_1$  be as in the Enumeration Theorem (12.1); let  $e$  be an index of  $\lambda x. \varphi_{z_1}(x, x)$ . Then  $K = W_e$ . ☒

**14.4 Theorem.**  $K$  is not computable.

**Proof.** The function  $f$  defined by

$$f(x) = \begin{cases} \varphi_x(x) + 1 & \text{if } x \in K, \\ 0 & \text{otherwise} \end{cases}$$

cannot be computable. ☒

**14.5 Definition.**  $K_0 := \{\langle x, y \rangle \mid \varphi_x(y) \text{ converges}\}$ .

Observe that  $K_0$  is c.e.

**14.6 Corollary (unsolvability of the halting problem).**  $K_0$  is not computable.

**15 Reduction**

**15.1 Definition.** Let  $A$  and  $B$  be sets (of natural numbers).

(i)  $A$  is *many-one reducible (m-reducible)* to  $B$ , notation  $A \leq_m B$ , if there exists a computable function  $f$  such that  $x \in A \Leftrightarrow f(x) \in B$ .

(ii)  $A$  is *one-one reducible (1-reducible)* to  $B$ , notation  $A \leq_1 B$ , if there exists a 1-1 computable function  $f$  such that  $x \in A \Leftrightarrow f(x) \in B$ .

For example,  $K \leq_1 K_0$ . Observe that  $A \leq_m B$  implies  $\bar{A} \leq_m \bar{B}$ , by the same function. These reducibilities are easily seen to be reflexive and transitive, so  $\leq_m \cap \geq_m$  and  $\leq_1 \cap \geq_1$  are equivalence relations. We denote them by  $\equiv_m$  and  $\equiv_1$ , respectively. The  $m$ -degree  $\text{deg}_m(A)$  is  $A/\equiv_m$ ; the 1-degree  $\text{deg}_1(A)$  is  $A/\equiv_1$ .

**15.2 Proposition.** If  $A \leq_m B$  and  $B$  is computable, then  $A$  is computable.

**15.3 Theorem.**  $K \leq_1 \text{Tot} := \{x \mid \text{Dom } \varphi_x = \omega\}$ .

**Proof.** There exists a 1-1 computable function  $f$  such that  $\varphi_{f(x)}(y) \simeq \varphi_x(x)$ . □

The proof shows that we cannot decide either whether a p.c. function is a constant function, or whether it is empty. Moreover, we can substitute any c.e. set for  $K$ .

## 16 Index sets

## 17 Complete sets, degrees and lattices

## 18 Exercises

:1 Suppose  $B = A \oplus \bar{A}$  for some set  $A \subset \omega$ . Prove  $B \leq_1 \bar{B}$ .

:2 Prove that  $\text{deg}_m(A \oplus B) = \text{deg}_m(A) \vee \text{deg}_m(B)$ .

:3 Prove that  $K_0$ ,  $K_1$  and  $K$  are 1-equivalent.