

3d-TQFTs from non-semisimple MTCs

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Structure:

- 1) Prelims
- 2) Modify Lyubashenko's invariant
- 3) Extend to TFT

\mathbb{k} - alg closed field

1. Preliminaries

1.1 FTCs + graphical calculus

Recall: A finite tensor category is a rigid monoidal abelian \mathbb{k} -linear cat s.t.

- $\dim \ell(X, Y) < \infty$, $\text{Length}(X) < \infty \quad \forall X, Y \in \ell$
- \otimes is bilinear
- finite set Irr of repr. of iso classes of simple objects, and $\mathbb{1} \in \text{Irr}$
- every $U \in \text{Irr}$ has a projective cover $(P_n, p_n : P_n \rightarrow U)$

Think: $\ell = H\text{-mod}$ H Hopf algebra

For the rest of this section: ℓ ribbon FTC st. strictly pivotal.

\rightsquigarrow two-sided dual X^\vee of $X \in \ell$

Our graphical notation is (read: bottom to top)

- left eval/coeval for X :  , 
- right eval/coeval for X :  , 
- braiding $c_{xy} : x \otimes y \rightarrow y \otimes x$: 
- twist $\vartheta_x : x \rightarrow x$: 

so that e.g. the twist axioms are

$$\begin{array}{c} \text{H}_\text{id} = \begin{array}{c} \nearrow \\ \text{P} \end{array} - \begin{array}{c} \nearrow \\ \text{P} \end{array} \quad \text{and} \quad \psi_{\text{P}} \stackrel{\text{def}}{=} \begin{array}{c} \nearrow \\ \text{P} \end{array} = \begin{array}{c} \nearrow \\ \text{P} \end{array} \end{array}$$

1.2 Tensor ideals and traces

A full subcategory $\mathcal{I} \subseteq \mathcal{C}$ is called an ideal if

- 1) Closure under retracts: $A \oplus B \in \mathcal{I} \Rightarrow A, B \in \mathcal{I}$
- 2) $A \in \mathcal{I}, X \in \mathcal{C} \Rightarrow A \otimes X \in \mathcal{I}$ (i.e. $\mathcal{I} \otimes \mathcal{C} \subseteq \mathcal{I}$)

A trace t on an ideal $\mathcal{I} \triangleleft \mathcal{C}$ is a family of linear maps

$$\{t_X : \text{End}_{\mathcal{C}}(X) \rightarrow \mathbb{k}\}_{X \in \mathcal{I}}$$

satisfying

- 1) Cyclicity: $\forall X, Y \in \mathcal{I}, f, g \circ p \in \mathcal{C}(X, Y): t_X(g \circ f) = t_Y(f \circ g)$
 $g \in \mathcal{C}(Y, X)$

- 2) right partial trace: $X \in \mathcal{I}, V \in \mathcal{C}$.

$$\forall f \in \text{End}_{\mathcal{C}}(X \otimes V) \quad t_{X \otimes V} \left(\begin{array}{|c|} \hline x & v \\ \hline \boxed{f} & \\ \hline x & v \\ \hline \end{array} \right) = t_X \left(\begin{array}{|c|} \hline & \\ \hline \boxed{f} & \\ \hline & \\ \hline x & \\ \hline \end{array} \right)$$

A trace t on \mathcal{I} is called non-degenerate if the pairing

$$t_V(- \circ -) : \mathcal{C}(W, V) \times \mathcal{C}(V, W) \rightarrow \mathbb{k}$$

is non-degenerate $\forall V \in \mathcal{I}, W \in \mathcal{C}$.

Example 1) $\mathcal{I} = \mathcal{C}$, $t = \text{tr}$. Then \forall projective P , $f \in \text{End}_{\mathcal{C}}(P)$:

$$\text{tr}_P(f) = \left(\begin{array}{|c|} \hline P \\ \hline \boxed{f} \\ \hline P \\ \hline \end{array} \right) : \underline{1} \longrightarrow P \otimes P^V \longrightarrow \underline{1}$$

$\Rightarrow \text{tr non-degen} \Rightarrow \mathcal{C}$ semi simple (ssi)

2) Let \mathcal{C} be unimodular ($P_{\mathbb{1}\mathbb{1}}^{\vee} \cong P_{\mathbb{1}\mathbb{1}}$). $I = \text{Proj}(\mathcal{C})$

Thm (Geer + al):

$\exists!$ (upto k^*) non-zero trace on I . It is non-degenerate.

$\Gamma \mathcal{C} = H\text{-mod} \rightsquigarrow \text{Proj}(\mathcal{C}) = \text{proj. } H\text{-modules}, t$ is completely determined by cointegral $\lambda \in H^*$

1.3 Modular Tensor categories

In \mathcal{C} , the coend and end

$$(L_i) = \int^{X \in \mathcal{C}} X^* \otimes X, \quad (E_j) = \int_{X \in \mathcal{C}} X \otimes X^*$$

exist.

Recall that e.g.

$$\begin{array}{c} L \\ \downarrow \\ \boxed{iy} \\ \downarrow f \\ y^* \\ \downarrow \\ x \end{array} = \begin{array}{c} L \\ \downarrow \\ \boxed{ix} \\ \downarrow f^* \\ y^* \\ \downarrow \\ x \end{array}$$

and L is universal wrt this property:

$$\text{Dinat}(-^*, -, V) \cong \mathcal{C}(L, V)$$

For the rest of the talk: assume \mathcal{C} is modular,

i.e. the pairing $\omega: L \otimes L \rightarrow \mathbb{1}$,

$$\begin{array}{c} \boxed{\omega} \\ \downarrow \\ ix \\ \downarrow \\ x \\ \downarrow \\ x \\ \downarrow \\ iy \\ \downarrow \\ y \end{array} = \begin{array}{c} \text{braiding} \\ \text{morphisms} \end{array}, \text{ induces an iso } L \cong L^*$$

$\rightsquigarrow \exists$ special morphism $\Lambda: \mathbb{1} \rightarrow L$, called the integral

$$\begin{array}{ccc} \begin{array}{c} L \\ \curvearrowright \\ L \end{array} & \begin{array}{c} \circ \\ L \\ \curvearrowleft \end{array} & \Rightarrow \end{array} \begin{array}{c} \Lambda \\ | \\ x \end{array} = \begin{array}{c} \Lambda \\ | \\ L \end{array} = \begin{array}{c} \Lambda \\ | \\ L \\ \curvearrowleft \end{array}$$

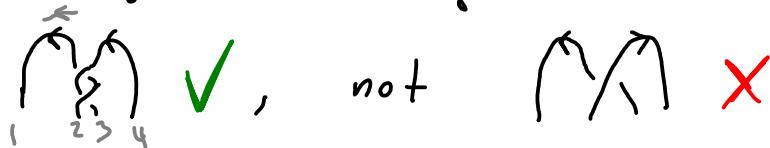
Multiplication counit

2. Modifying Lyubashenko's invariant

manifolds are oriented, diffeomorphisms are positive,
links/tangles are oriented + framed

2.1 Bichrome ribbon graphs and the Lyubashenko-Reshetikhin-Turaev functor

Def (i) An n-bottom tangle is something like this ($n=2$)

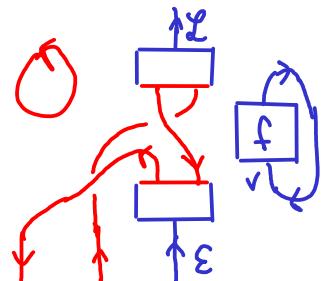


(ii) An n-bottom graph: ribbon graph w/

$$\text{Edges} = \{\text{red}\} \cup \{\text{blue}\}, \quad \text{Coupons} = \{\text{bichrome}\} \cup \{\text{blue}\}$$

+ conditions (e.g. $n=1$)

(a) The $2n$ leftmost incoming legs are red, all other boundary legs are blue. ✓



(b) Red edges unlabeled, blue labeled by obj ✓



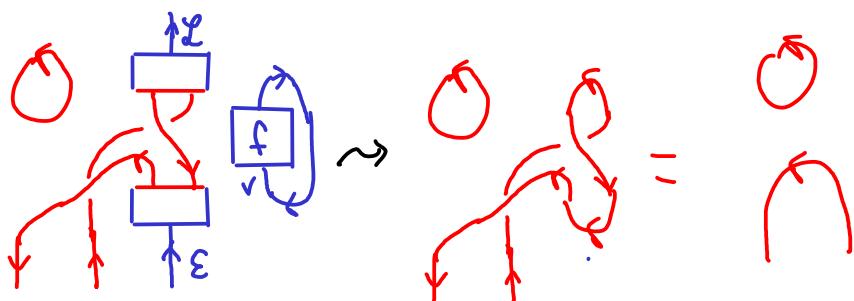
(c) Blue coupons: labeled by morph, bichrome coupons: unlabeled, two types



(d) "Smoothing" yields an n -bottom tangle:

- throw away purely blue stuff

- replace



(iii) bichrome graph := 0-bottom graph

How to interpret a bichrome graph as a morphism in \mathcal{C}

First: Cycle of bichr. graph $T \cong$ a connected component in $\text{smooth}(T)$

eg: cycles $\left(\begin{array}{c} \textcircled{0} \\ \text{---} \\ \text{---} \\ \text{---} \\ \textcircled{0} \end{array} \right) = \left\{ \textcircled{0}, \textcircled{S} \right\} \left(= \left\{ \textcircled{0}, \textcircled{X} \right\} \right)$

Consider $T = \begin{array}{c} \textcircled{0} \\ \text{---} \\ \text{---} \\ \text{---} \\ \textcircled{0} \end{array} \quad (\sim e(\varepsilon, \mathcal{L}))$

Step 1 For each cycle c : cut an edge of c open, and bend down

$$\begin{array}{c} \textcircled{0} \\ \text{---} \\ \text{---} \\ \text{---} \\ \textcircled{0} \end{array} \rightsquigarrow \begin{array}{c} \textcircled{0} \\ \text{---} \\ \text{---} \\ \text{---} \\ \textcircled{0} \end{array} \quad =: \tilde{T}, \text{ a 2-bottomgraph}^L$$

Step 2 Forget color, $\begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \rightarrow \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array}^i, \quad \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \rightarrow \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array}_i$

$$\begin{array}{c} \textcircled{0} \\ \text{---} \\ \text{---} \\ \text{---} \\ \textcircled{0} \end{array} \rightsquigarrow \begin{array}{c} \textcircled{0} \\ \text{---} \\ \text{---} \\ \text{---} \\ \textcircled{0} \end{array} \quad \in \text{Dinat}\left[(-^\vee \otimes -) \otimes (-^\vee \otimes -) \otimes \varepsilon, \mathcal{L} \right]$$

$\Downarrow \eta^{\tilde{T}}$

Step 3 $\text{Dinat}\left[(-^\vee \otimes -) \otimes (-^\vee \otimes -) \otimes \varepsilon, \mathcal{L} \right] \cong \mathcal{C}(\mathcal{L} \otimes \mathcal{L} \otimes \varepsilon, \mathcal{L})$

$\eta^{\tilde{T}} \longmapsto f_{\tilde{T}}$

Step 4

$$F_1(T) := \begin{array}{c} \text{graph} \\ \text{with } \mathcal{L} \text{ edges} \end{array} \in \mathcal{C}(\mathcal{E}, \mathcal{L})$$

Prop $F_1(T)$ is well-defined.

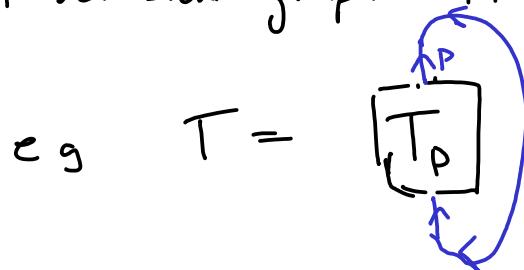
3.2 Renormalized Lyubashenko invariant of closed 3-manifolds

Fix $I = \text{Proj}(\mathcal{E}) \triangle \mathcal{E}$, non-degen trace t on I .

A closed bichrome graph is admissible if ≥ 1 blue edge colored by $P \in \text{Proj}(\mathcal{E})$.

(Rem: $\mathcal{E} \Leftrightarrow \text{admissible iff nonempty}$)

Thm T adm bichr. graph with edge colored by projective P .



Then $F'_{1,t}(T) = t_P \left(F_1 \left(\begin{array}{c} \text{---} \\ T_P \\ \text{---} \end{array} \right) \right)$ is an isotopy inv of T . //

The difference between F_1 and $F'_{1,t}$

Fact \mathcal{E} modular $\Rightarrow \exists \gamma_{11}: \mathbb{1} \rightarrow P_{11}$ non-zero (recall: $P_{11} \cdot P_{11} \rightarrow \mathbb{1}$)

Consider the bichr. graph $T =$

closed, admissible

- $F_\lambda(T) = \mathbb{1} \xrightarrow{\eta_{\mathbb{1}}} P_{\mathbb{1}} \xrightarrow{P_{\mathbb{1}}} \mathbb{1} = 0 \quad \text{unless } \ell \text{ ss.}$

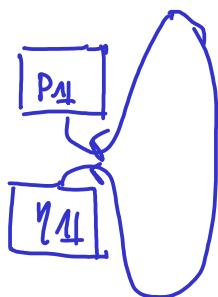
- $\bar{F}'_{\lambda,t}(T) = t_{P_{\mathbb{1}}} \left(\begin{array}{c} \uparrow P_{\mathbb{1}} \\ \boxed{\eta_{\mathbb{1}}} \\ \downarrow P_{\mathbb{1}} \end{array} \right) \neq 0$

because:

- $\ell(\mathbb{1}, P_{\mathbb{1}}) = \|k\eta_{\mathbb{1}}, \quad \ell(P_{\mathbb{1}}, \mathbb{1}) = \|k P_{\mathbb{1}}$
- t non-degenerate

Caveat:

$$F'_{\lambda,t}(T \otimes T) = t_{P_{\mathbb{1}}} \left(\begin{array}{c} \uparrow P_{\mathbb{1}} \\ \boxed{\eta_{\mathbb{1}}} \\ \downarrow P_{\mathbb{1}} \end{array} \cdot F_\lambda(T) \right) = 0$$



Thm

- M - closed connected 3-mfld obtained by surgery along a red l -component link L of signature $\sigma(L)$
- T - admissible closed bichrome graph in M

Then $L'_{e,I}(M, T) := \mathcal{D}^{-1-l} \delta^{-\sigma(L)} F'_{\lambda,t}(L \cup T)$

is a topological invariant of (M, T) . \blacksquare

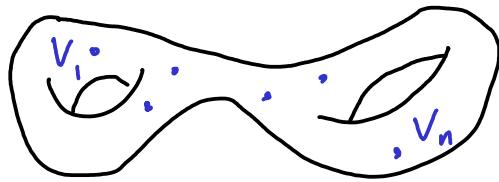
Rem: ℓ ss. $\Rightarrow L'_{e,I} = L_e^{RT}$

3. Extending the invariant to a $(2+1)$ -TFT

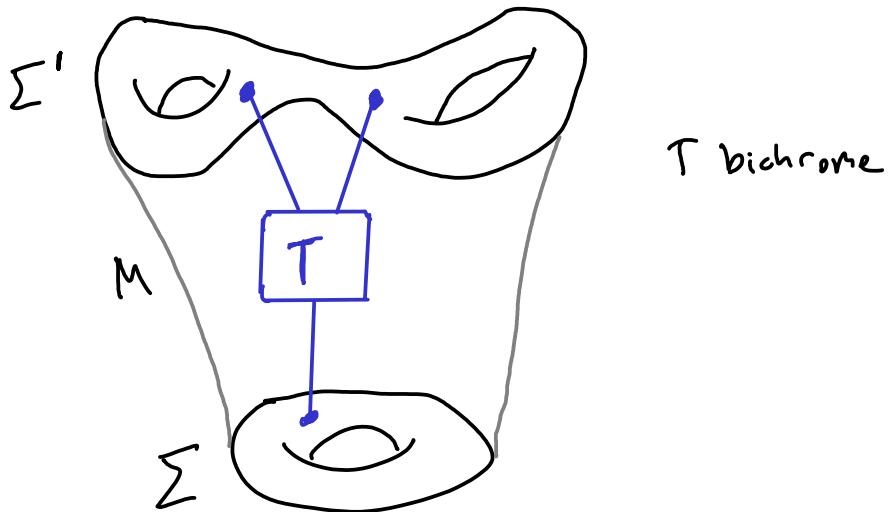
Here, \mathcal{C} is modular, and we fix $I = \text{Proj}(\mathcal{C})$ w/ modified trace t and the normalization $t_{P_{\mathcal{C}}}(\gamma_{11} \circ \varepsilon_{11}) = 1$.

The cobordism category $\text{Cob}_{\mathcal{C}}$ has

- objects: $\Sigma = (\Sigma, P_{\mathcal{C}} \text{ ob } \mathcal{C}, \sqcup)$



- morphisms: $M: \Sigma \rightarrow \Sigma'$ e.g. class of (M, T, n)



- $\otimes = \sqcup$

\rightsquigarrow Symmetric, rigid

M in $\text{Mor } \text{Cob}_{\mathcal{C}}$ is admissible if every connected component of M disjoint from incoming boundary contains an admissible graph.

→ We get the admissible cobordism category $\check{\text{Cob}}_e$ by restricting Cob_e to admissible cobordisms.

For $M = (M, T, n) \in \check{\text{Cob}}_e$ w/ M closed can define

$$L'_e(M) := \delta^n L'_e(M, T)$$

→ Universal construction of $BHMV$ yields functor

$$V_e: \check{\text{Cob}}_e \longrightarrow \text{Vect}_{\mathbb{K}}$$

It maps Σ to a certain quotient of $\mathbb{K}^{\check{\text{Cob}}_e(\emptyset, \Sigma)}$

"Thm" V_e is sym. monoidal. It maps $\Sigma_{g,n}$ decorated with $V_1, \dots, V_n \in \mathcal{C}$ to a vector space iso to

$$\mathcal{C}(L^{\otimes g} \otimes V_1 \otimes \dots \otimes V_n, \mathbb{1})^*$$

$\Sigma_{g,n}$ as above \leadsto get an L s.t.

$$\widetilde{\Sigma}_{g,n} = (\Sigma_{g,n}, \{(+, V_1), \dots, (+, V_n)\}, L)$$

$$\begin{array}{ccc} & \mathbb{K}^{\check{\text{Cob}}_e(\emptyset, \widetilde{\Sigma}_{g,n})} & \\ \nearrow & & \searrow \text{from univ. construction} \\ \mathcal{C}(P_{11}, \varepsilon^{\otimes g} \otimes V_1 \otimes \dots \otimes V_n) & \xrightarrow{\quad \exists \quad} & V_e(\widetilde{\Sigma}_{g,n}) \end{array}$$

$$f : P_{1L} \longrightarrow \mathcal{E}^{\otimes S} \otimes V_1 \otimes \cdots \otimes V_n$$

